# THE VLASOV-MAXWELL-BOLTZMANN SYSTEM IN THE WHOLE SPACE

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ABSTRACT. The Vlasov-Maxwell-Boltzmann system is a fundamental model to describe the dynamics of dilute charged particles, where particles interact via collisions and through their self-consistent electromagnetic field. We prove the existence of global in time classical solutions to the Cauchy problem near Maxwellians.

#### 1. The Vlasov-Maxwell-Boltzmann System

The Vlasov-Maxwell-Boltzmann system describes the dynamics of dilute charged particles (e.g. electrons and ions):

(1) 
$$\partial_t F_+ + v \cdot \nabla_x F_+ + \frac{e_+}{m_+} \left( E + \frac{v}{c} \times B \right) \cdot \nabla_v F_+ = Q^{++}(F_+, F_+) + Q^{+-}(F_+, F_-),$$

$$\partial_t F_- + v \cdot \nabla_x F_- - \frac{e_-}{m_-} \left( E + \frac{v}{c} \times B \right) \cdot \nabla_v F_- = Q^{--}(F_-, F_-) + Q^{-+}(F_-, F_+).$$

The initial conditions are  $F_{\pm}(0, x, v) = F_{0,\pm}(x, v)$ . Here  $F_{\pm}(t, x, v) \geq 0$  are number density functions for ions (+) and electrons (-) respectively at time  $t \geq 0$ , position  $x = (x_1, x_2, x_3) \in \mathbb{R}^3$  and velocity  $v = (v_1, v_2, v_3) \in \mathbb{R}^3$ . The constants  $e_{\pm}$  and  $m_{\pm}$  are the magnitude of their charges and masses, and c is the speed of light.

The self-consistent electromagnetic field [E(t,x), B(t,x)] in (1) is coupled with F(t,x,v) through the celebrated Maxwell system:

$$\partial_t E - c\nabla_x \times B = -4\pi \mathcal{J} = -4\pi \int_{\mathbb{R}^3} v\{e_+ F_+ - e_- F_-\} dv,$$
$$\partial_t B + c\nabla_x \times E = 0,$$

with constraints

$$\nabla_x \cdot B = 0, \ \nabla_x \cdot E = 4\pi\rho = 4\pi \int_{\mathbb{R}^3} \{e_+ F_+ - e_- F_-\} dv,$$

and initial conditions  $E(0,x) = E_0(x), B(0,x) = B_0(x)$ .

Let  $g_A(v)$ ,  $g_B(v)$  be two number density functions for two types of particles A and B with masses  $m_i$  and diameters  $\sigma_i$  ( $i \in \{A, B\}$ ). In this article we consider the Boltzmann collision operator with hard-sphere interactions [3]:

(2) 
$$Q^{AB}(g_A, g_B) = \frac{(\sigma_A + \sigma_B)^2}{4} \int_{\mathbb{R}^3 \times S^2} |(u - v) \cdot \omega| \{g_A(v')g_B(u') - g_A(v)g_B(u)\} du d\omega.$$

Here  $\omega \in S^2$  and the post-collisional velocities are

(3) 
$$v' = v - \frac{2m_B}{m_A + m_B}[(v - u) \cdot \omega]\omega, \qquad u' = u + \frac{2m_A}{m_A + m_B}[(v - u) \cdot \omega]\omega.$$

Then the pre-collisional velocities are v, u and vice versa.

Recently global in time smooth solutions to the Vlasov-Maxwell-Boltzmann system near Maxwellians were constructed by Guo [11] in the spatially periodic case. Further, arbitrarily high polynomial time decay for the Vlasov-Maxwell-Boltzmann system and the relativistic Landau-Maxwell system [17] was shown in the periodic box by Strain and Guo [18].

A simpler model can be formally obtained when the speed of light is sent to infinity. This is the Vlasov-Poisson-Boltzmann system, where  $B(t,x) \equiv 0$  and  $E(t,x) = \nabla_x \phi(t,x)$  in (1). Here the self-consistent electric potential,  $\phi$ , satisfies a Poisson equation. There are several results for this model.

Time decay of solutions to the linearized Vlasov-Poisson-Boltzmann system near Maxwellian was studied by Glassey and Strauss [7, 8]. The existence of spatially periodic smooth solutions to the Vlasov-Poisson-Boltzmann system with near Maxwellian initial data was shown by Guo [10]. Renormalized solutions were constructed by Lions [13], and this was generalized to the case with boundary by Mischler [16]. The long time behavior of weak solutions with additional regularity assumptions are studied in Desvillettes and Dolbeault [4]. For some references on the Boltzmann equation with or without forces see [2,3,6,21].

Next we discuss global in time classical solutions to the Cauchy problem for the Vlasov-Poisson-Boltzmann system. The case of near vacuum data was solved by Guo [9] for some soft collision kernels. Recently Duan, Yang and Zhu [5] have resolved the near vacuum data case for the hard sphere model and some cut-off potentials. The near Maxwellian case was proven by Yang, Yu and Zhao [19] with restrictions on either the size of the mean free path or the size of the background charge density. After the completion of the results in this paper we learned that Yang and Yu [20] have removed those restrictions and further shown a time decay rate to Maxwellian of  $O(t^{-1/2})$ . However, to the author's knowledge, until now no solutions have been constructed for the full Vlasov-Maxwell-Boltzmann system in the whole space.

It is our purpose in this article to establish the existence of global in time classical solutions to the Cauchy Problem for the Vlasov-Maxwell-Boltzmann system near global Maxwellians:

$$\mu_{+}(v) = \frac{n_0}{e_{+}} \left(\frac{m_{+}}{2\pi\kappa T_0}\right)^{3/2} e^{-m_{+}|v|^2/2\kappa T_0},$$

$$\mu_{-}(v) = \frac{n_0}{e_{-}} \left(\frac{m_{-}}{2\pi\kappa T_0}\right)^{3/2} e^{-m_{-}|v|^2/2\kappa T_0}.$$

Accordingly, in the rest of this section we will reformulate the problem (1) as a perturbation of the equilibria.

Since the presence of the physical constants do not create essential mathematical difficulties, for notational simplicity, we normalize all constants in the Vlasov-Maxwell-Boltzmann system to one. We normalize the Maxwellian

$$\mu(v) \equiv \mu_{+}(v) = \mu_{-}(v) = (2\pi)^{-3/2} e^{-|v|^{2}/2}.$$

We further normalize the collision operator (2) as

$$Q^{AB}(g_A, g_B) = Q(g_A, g_B) = \int_{\mathbb{R}^3 \times S^2} |(u - v) \cdot \omega| \{g_A(v')g_B(u') - g_A(v)g_B(u)\} du d\omega.$$

And we define the standard perturbation  $f_{\pm}(t, x, v)$  to  $\mu$  as

$$F_{\pm} = \mu + \sqrt{\mu} f_{\pm}.$$

Let  $[\cdot,\cdot]$  denote a column vector so that  $F(t,x,v)=[F_+,F_-]$ . We then study the normalized vector-valued Vlasov equation for the perturbation

$$f(t, x, v) = [f_{+}(t, x, v), f_{-}(t, x, v)].$$

which now takes the form

(4) 
$$\{\partial_t + v \cdot \nabla_x + q(E + v \times B) \cdot \nabla_v\}f - \{E \cdot v\}\sqrt{\mu}q_1 + Lf = \frac{q}{2}\{E \cdot v\}f + \Gamma(f, f),$$

with  $f(0, x, v) = f_0(x, v)$ ,  $q_1 = [1, -1]$  and q = diag(1, -1).

For any given  $g = [g_+, g_-]$ , the linearized collision operator in (4) is

$$Lg = [L_+g, L_-g].$$

where

(5) 
$$L_{\pm}g = -2\mu^{-1/2}Q(\sqrt{\mu}g_{\pm},\mu) - \mu^{-1/2}Q(\mu,\sqrt{\mu}\{g_{\pm} + g_{\mp}\}).$$

We split L in the standard way [6] as  $Lg = \nu(v)g - Kg$ . The collision frequency is

(6) 
$$\nu(v) \equiv \int_{\mathbb{R}^3 \times S^2} |v - u| \mu(u) du d\omega.$$

For  $g = [g_+, g_-]$  and  $h = [h_+, h_-]$ , the nonlinear collision operator is

$$\Gamma(g,h) = [\Gamma_+(g,h), \Gamma_-(g,h)],$$

with

$$\Gamma_{+}(g,h) = \mu^{-1/2}Q(\sqrt{\mu}g_{+},\sqrt{\mu}h_{+}) + \mu^{-1/2}Q(\sqrt{\mu}g_{+},\sqrt{\mu}h_{\pm}).$$

Further, the coupled Maxwell system now takes the form

(7) 
$$\partial_t E - \nabla_x \times B = -\mathcal{J} = -\int_{\mathbb{R}^3} v \sqrt{\mu} (f_+ - f_-) dv, \quad \partial_t B + \nabla_x \times E = 0,$$

(8) 
$$\nabla_x \cdot E = \rho = \int_{\mathbb{R}^3} \sqrt{\mu} (f_+ - f_-) dv, \quad \nabla_x \cdot B = 0,$$

with the same initial data  $E(0,x) = E_0(x)$ ,  $B(0,x) = B_0(x)$ .

It is well known that the the linearized collision operator L is non-negative. For fixed (t, x), the null space of L is given by (Lemma 1):

(9) 
$$\mathcal{N} = \operatorname{span}\{[\sqrt{\mu}, 0], [0, \sqrt{\mu}], [v_i\sqrt{\mu}, v_i\sqrt{\mu}], [|v|^2\sqrt{\mu}, |v|^2\sqrt{\mu}]\} (1 \le i \le 3).$$

We define **P** as the orthogonal projection in  $L^2(\mathbb{R}^3_v)$  to the null space  $\mathcal{N}$ . With (t,x) fixed, we decompose any function  $g(t,x,v)=[g_+(t,x,v),g_-(t,x,v)]$  as

$$g(t, x, v) = \mathbf{P}g(t, x, v) + (\mathbf{I} - \mathbf{P})g(t, x, v).$$

Then  $\mathbf{P}g$  is call the hydrodynamic part of g and  $(\mathbf{I} - \mathbf{P})g$  the microscopic part.

### 2. Notation and Main Results

We shall use  $\langle \cdot, \cdot \rangle$  to denote the standard  $L^2$  inner product in  $\mathbb{R}^3_v$  for a pair of functions  $g_1(v), g_2(v) \in L^2(\mathbb{R}^3_v; \mathbb{R}^2)$ . The corresponding norm is written  $|g_1|_2^2 = \langle g_1, g_1 \rangle$ . We also define a weighted  $L^2$  inner product in  $\mathbb{R}^3_v$  as

$$\langle g_1, g_2 \rangle_{\nu} \equiv \langle \nu(v)g_1, g_2 \rangle.$$

And we use  $|\cdot|_{\nu}$  for its corresponding  $L^2$  norm. We note that the weight (6) satisfies

$$\frac{1}{c}(1+|v|) \le \nu(v) \le c(1+|v|).$$

We also use  $\|\cdot\|$  to denote  $L^2$  norms in either  $\mathbb{R}^3_x \times \mathbb{R}^3_v$  or  $\mathbb{R}^3_x$ . In other words we use  $\|\cdot\|$  to denote an  $L^2$  norm in  $\mathbb{R}^3_x \times \mathbb{R}^3_v$  if the function depends on (x,v). But if the function only depends upon  $x \in \mathbb{R}^3_x$  then  $\|\cdot\|$  will denote the norm of  $L^2(\mathbb{R}^3_x)$ . The  $L^2(\mathbb{R}^3_x \times \mathbb{R}^3_v)$  inner product is written  $(\cdot,\cdot)$ . Further,  $\|g\|^2_\nu \equiv (\nu g,g)$ .

Let multi-indices  $\alpha$  and  $\beta$  be

$$\alpha = [\alpha_0, \alpha_1, \alpha_2, \alpha_3], \quad \beta = [\beta_1, \beta_2, \beta_3].$$

We define a high order derivative as

$$\partial^{\alpha}_{\beta} \equiv \partial^{\alpha_0}_t \partial^{\alpha_1}_{x_1} \partial^{\alpha_2}_{x_2} \partial^{\alpha_3}_{x_3} \partial^{\beta_1}_{v_1} \partial^{\beta_2}_{v_2} \partial^{\beta_3}_{v_3}.$$

If each component of  $\alpha$  is not greater than that of  $\bar{\alpha}$ 's, we write  $\alpha \leq \bar{\alpha}$ ;  $\alpha < \bar{\alpha}$  means  $\alpha \leq \bar{\alpha}$  and  $|\alpha| < |\bar{\alpha}|$ . We also denote  $\begin{pmatrix} \alpha \\ \bar{\alpha} \end{pmatrix}$  by  $C^{\alpha}_{\bar{\alpha}}$ .

We next define an "Instant Energy functional" for a solution to the Vlasov-Maxwell-Boltzmann system, [f(t, x, v), E(t, x), B(t, x)], to be any functional  $\mathcal{E}(t)$  which satisfies the following for an absolute constant C > 0:

$$\frac{1}{C}\mathcal{E}(t) \leq \sum_{|\alpha|+|\beta| \leq N} ||\partial_{\beta}^{\alpha} f(t)||^2 + \sum_{|\alpha| \leq N} ||[\partial^{\alpha} E(t), \partial^{\alpha} B(t)]||^2 \leq C\mathcal{E}(t).$$

The temporal derivatives of  $[f_0, E_0, B_0]$  are defined through equations (4) and (7). We will always use C to denote a positive absolute constant which may change from line to line.

We additionally define the "Dissipation rate" for a solution as

$$\mathcal{D}(t) \equiv \|E(t)\|^2 + \sum_{0<|\alpha|\leq N} ||\partial^\alpha f(t)||_\nu^2 + \sum_{|\alpha|+|\beta|\leq N} ||\partial^\alpha_\beta (\mathbf{I} - \mathbf{P}) f(t)||_\nu^2.$$

Notice that we include the electric field E(t, x) in the dissipation and further we do not take any velocity derivatives of the hydrodynamic part,  $\mathbf{P}f$ . Throughout this article we assume  $N \geq 4$ . Our main result is as follows.

**Theorem 1.** Assume that  $[f_0, E_0, B_0]$  satisfies the constraint (8) initially. Let

$$F_{0,+}(x,v) = \mu + \sqrt{\mu} f_{0,+}(x,v) \ge 0.$$

There exists an instant energy functional and  $\epsilon_0 > 0$  such that if

$$\mathcal{E}(0) < \epsilon_0$$

then there is a unique global solution [f(t, x, v), E(t, x), B(t, x)] to the Vlasov–Maxwell-Boltzmann system (4) and (7) with (8) satisfying

$$\mathcal{E}(t) + \int_0^t \mathcal{D}(s)ds \le \mathcal{E}(0).$$

And moreover  $F_{\pm}(t, x, v) = \mu + \sqrt{\mu} f_{\pm}(t, x, v) \geq 0$ .

For spatially periodic data, Guo [11] designed an energy method to establish global existence of classical solutions to the Vlasov-Maxwell-Boltzmann system near Maxwellians. Additionally, Guo has given another construction of global in time near Maxwellian solutions to the pure Boltzmann equation (with no force terms) in the whole space [12], but without regularity in the velocity variables.

The proof of Theorem 1 uses techniques developed in [11,12]. At the same time several new difficulties arise which are fundamental to the Vlasov-Maxwell-Boltzmann system in the whole space. In particular, we use the basic estimates from [10,11] (Lemma 2 and Lemma 3) but we use new arguments (described below) in order to remove the hydrodynamic part,  $\mathbf{P}f$ , from the estimates (Lemma 5, Lemma 6, Lemma 7 and Theorem 3) and thereby control the weaker whole space dissipation. We additionally show how to include the velocity derivatives in this energy method in the whole space, which we believe will be useful for working on other kinetic equations with force terms in the whole space. In particular, these techniques can be used to construct solutions to the relativistic Landau-Maxwell system [17] in the whole space.

Recently, Yang, H. Yu and Zhao [19] and Yang and Zhao [20] have solved the Cauchy problem for the Vlasov-Poisson-Boltzmann system with smooth near Maxwellian data. Their proof makes use of techniques from Liu and S.-H. Yu [14] and Liu, Yang and S.-H. Yu [15] as well as the study in conservation laws. Our proof of Theorem 1 supplies another construction of solutions to the Cauchy problem for the Vlasov-Poisson-Boltzmann system near Maxwellian.

As in [11, 12], a key point in our construction is to show that the linearized collision operator (5) is effectively coercive for solutions of small amplitude to the Vlasov-Maxwell-Boltzmann system, (4) and (7) with (8):

**Theorem 2.** Let [f(t,x,v), E(t,x), B(t,x)] be a classical solution to (4) and (7) satisfying (8). There exists  $M_0 > 0$  such that if

(10) 
$$\sum_{|\alpha| \le N} \left\{ ||\partial^{\alpha} f(t)||^{2} + ||\partial^{\alpha} E(t)||^{2} + ||\partial^{\alpha} B(t)||^{2} \right\} \le M_{0},$$

then there are constants  $\delta_0 = \delta_0(M_0) > 0$  and  $C_0 > 0$  so that

$$\sum_{|\alpha| \le N} (L\partial^{\alpha} f(t), \partial^{\alpha} f(t)) \ge \delta_0 ||(\mathbf{I} - \mathbf{P}) f(t)||_{\nu}^2 + \delta_0 \sum_{0 < |\alpha| \le N} ||\partial^{\alpha} f(t)||_{\nu}^2 - C_0 \frac{dG(t)}{dt},$$

where  $G(t) = \int_{\mathbb{R}^3} \nabla_x \cdot b(a_+ + a_-) dx$  and  $a_{\pm}, b$  are defined in (15) below.

To prove Theorem 2, we split the solution f to the Vlasov-Maxwell-Boltzmann system (4) into it's hydrodynamic and microscopic parts as

$$(11) f = \mathbf{P}f + (\mathbf{I} - \mathbf{P})f.$$

Separating into linear and nonlinear parts, and using  $L\{\mathbf{P}f\} = 0$  (Lemma 1), we can express the hydrodynamic part,  $\mathbf{P}f$ , through the microscopic part,  $(\mathbf{I} - \mathbf{P})f$ , up to a second order term:

(12) 
$$\{\partial_t + v \cdot \nabla_x\} \mathbf{P} f - \{E \cdot v\} \sqrt{\mu} q_1 = l((\mathbf{I} - \mathbf{P})f) + h(f),$$

where

(13) 
$$l((\mathbf{I} - \mathbf{P})f) \equiv -\{\partial_t + v \cdot \nabla_x + L\}(\mathbf{I} - \mathbf{P})f,$$

(14) 
$$h(f) \equiv -q(E+v\times B)\cdot \nabla_v f + \frac{q}{2}\{E\cdot v\}f + \Gamma(f,f).$$

Expanding  $\mathbf{P}f = [\mathbf{P}_+f, \mathbf{P}_-f]$  as a linear combination of the basis in (9) yields

(15) 
$$\mathbf{P}_{\pm}f \equiv \left\{ a_{\pm}(t,x) + \sum_{i=1}^{3} b_{i}(t,x)v_{i} + c(t,x)|v|^{2} \right\} \mu^{1/2}(v).$$

A system of "macroscopic equations" for the coefficients  $a(t, x) = [a_+(t, x), a_-(t, x)],$   $b_i(t, x)$  and c(t, x) can be derived from an expansion of the left side of (12) in the velocity variables, using (15) to obtain [11]:

$$\nabla_x c = l_c + h_c,$$

(17) 
$$\partial_t c + \partial^i b_i = l_i + h_i,$$

(18) 
$$\partial^i b_j + \partial^j b_i = l_{ij} + h_{ij}, i \neq j,$$

(19) 
$$\partial_t b_i + \partial^i a_{\pm} \mp E_i = l_{bi}^{\pm} + h_{bi}^{\pm},$$

$$\partial_t a_{\pm} = l_a^{\pm} + h_a^{\pm},$$

Here  $\partial^j = \partial_{x_j}$ . The terms on the r.h.s. of the macroscopic equations (16)-(20) are obtained by expanding the r.h.s. of (12) with respect to the same velocity variables and comparing the coefficients on the two sides. Here  $l_c(t,x), l_i(t,x), l_{ij}(t,x), l_{bi}^{\pm}(t,x)$  and  $l_a^{\pm}(t,x)$  are the coefficients of this expansion of the linear term (13), and  $h_c(t,x), h_i(t,x), h_{ij}(t,x), h_{bi}^{\pm}(t,x)$  and  $h_a^{\pm}(t,x)$  are the coefficients of the same expansion of the second order term (14). These terms are defined precisely in Section 4.

Theorem 2 is proven via energy estimates of these macroscopic equations (16)-(20), which are used to estimate derivatives of a, b and c. In the periodic case [11], the Poincaré inequality was used to estimate a, b and c in terms of their derivatives and the conserved quantities. Further, it was observed in [12] that a, b and c themselves can not be estimated directly from (16)-(20). This is why we remove  $\mathbf{P}f$  from the dissipation rate in the whole space. Additionally, due to the velocity gradient in the equation (4), it is important to include the velocity derivatives in our norms. On the other hand, in our global energy estimates (in Section 5)  $\partial_{\beta}\mathbf{P}f$  behaves almost the same as  $\mathbf{P}f$ , which is not part of the dissipation. We therefore observe that the dissipation rate for the Vlasov-Maxwell-Boltzmann system in the whole space should include the velocity derivatives only on the microscopic part of the solution. This lack of control over the hydrodynamic part and it's velocity derivatives causes new difficulties.

For this reason, we use Sobolev type inequalities to bound the nonlinear terms by derivatives of f in Lemma 5. This is an important step for bounding the macroscopic equations by our weak dissipation in the proof of Theorem 2. Lemma 5 is also used to include the electric field in the dissipation in Lemma 6.

Further, in the periodic case [11], the Poincaré inequality was used to estimate the low order temporal derivatives of  $b_i$ . Instead, we notice that there is a cancellation of the most dangerous terms in the macroscopic equations which allows us to estimate the low order temporal derivatives of  $b_i$  in the whole space directly in the proof of Theorem 2.

Further, we give a new, more precise estimate in Lemma 5 using Sobolev's inequality to remove the zero'th order derivatives of h(f) and thereby include the electric field into this whole space dissipation in Lemma 6. Both of these observations are important for closing the estimates in Theorem 3. In addition, Lemma 5 is used to control the macroscopic equations in the proof of Theorem 2. Moreover, we refine the estimate in Lemma 7 to control the non-linear collision operator by the weaker whole space dissipation with velocity derivatives. In particular we use the splitting (11) extensively to get all of these estimates.

This article is organized as follows: In section 3 we recall some basic estimates for the Boltzmann collision operator. In section 4 we establish positivity of (5) for solutions to the Vlasov-Maxwell-Boltzmann system with small amplitude. And in Section 5 we prove global existence (Theorem 3).

#### 3. Local Solutions

We will make use of the following basic estimates from [1, 10, 11]:

**Lemma 1** ([1,11]).  $\langle Lg,h\rangle = \langle g,Lh\rangle$ ,  $\langle Lg,g\rangle \geq 0$ . And Lg = 0 if and only if  $g = \mathbf{P}g$ , where  $\mathbf{P}$  was defined in (15). Furthermore there is a  $\delta > 0$  such that

$$\langle Lq, q \rangle \geq \delta |(\mathbf{I} - \mathbf{P})q|_{u}^{2}$$
.

From (5) it is well known that we can write  $L = \nu - K$  where  $\nu$  is the multiplication operator defined in (6) and K satisfies the following.

**Lemma 2** ([10]). If  $\beta \neq 0$ ,  $\partial_{\beta}\nu(v)$  is uniformly bounded. For  $g(v) \in H^{|\beta|}(\mathbb{R}^3; \mathbb{R}^2)$  and for any  $\eta > 0$ , there is  $C_{\eta} > 0$  such that

$$|\partial_{\beta}[Kg]|_2^2 \le \eta \sum_{|\beta'|=|\beta|} |\partial_{\beta'}g|_2^2 + C_{\eta}|g|_2^2.$$

Above and below  $H^{|\beta|}(\mathbb{R}^3;\mathbb{R}^2)$  denotes the standard  $L^2(\mathbb{R}^3;\mathbb{R}^2)$  Sobolev space of order  $|\beta|$ . Next up are estimates for the nonlinear part of the collision operator.

**Lemma 3** ([10]). Say  $g(v), p(v), r(v) \in C_c^{\infty}(\mathbb{R}^3; \mathbb{R}^2)$ . Then

$$|\langle \partial_{\beta} \Gamma(g,p), r \rangle| \leq C \sum_{\beta_1 + \beta_2 \leq \beta} \left[ |\partial_{\beta_1} g|_{\nu} |\partial_{\beta_2} p|_2 + |\partial_{\beta_1} g|_2 |\partial_{\beta_2} p|_{\nu} \right] |r|_{\nu}.$$

Moreover,

$$\left\| \int \Gamma(g,p)rdv \right\| + \left\| \int \Gamma(p,g)rdv \right\| \le C \sup_{x,v} |\nu^3 r| \sup_x \left[ \int |g(x,v)|^2 dv \right]^{1/2} ||p||.$$

Using these estimates, it is by now standard to prove existence and uniqueness of local-in time positive classical solutions to the Vlasov-Maxwell-Boltzmann system (4) and (7) with (8) and to establish the continuity of the high order norms for a solution as in [11, Theorem 4]. Therefore, we will not repeat the argument.

## 4. Positivity of the Linearized Collision Operator

In this section, we establish the positivity of (5) for any small solution [f, E, B] to the Vlasov-Maxwell-Boltzmann system (4) and (7) via a series of Lemma's.

First we simplify the Maxwell system from (7) and (8). By (15)

$$\int [v\sqrt{\mu}, -v\sqrt{\mu}] \cdot \mathbf{P} f dv = 0,$$

$$\int [\sqrt{\mu}, -\sqrt{\mu}] \cdot f dv = a_{+} - a_{-}.$$

We can therefore write the Maxwell system from (7) and (8) as

(21) 
$$\partial_t E - \nabla_x \times B = -\mathcal{J} = \int_{\mathbb{R}^3} [-v\sqrt{\mu}, v\sqrt{\mu}] \cdot (\mathbf{I} - \mathbf{P}) f dv,$$
$$\partial_t B + \nabla_x \times E = 0,$$
$$\nabla_x \cdot E = a_+ - a_-, \ \nabla_x \cdot B = 0.$$

It is in this form that we will estimate the Maxwell system.

Next we discuss the derivation of the macroscopic equations (16)-(20). We expand the r.h.s. of (12) in the velocity variables using the projection (15) to obtain

$$\left\{ v_i \partial^i c |v|^2 + \{\partial_t c + \partial^i b_i\} v_i^2 + \sum_{i < j} \{\partial^i b_j + \partial^j b_i\} v_i v_j + \{\partial_t b_i + \partial^i a_\pm \mp E_i\} v_i \right\} \sqrt{\mu} + \partial_t a_\pm \sqrt{\mu},$$

The above represents two equations. Here  $\partial^j = \partial_{x_j}$ . For fixed (t, x), this is an expansion of l.h.s. of (12) with respect to the following basis  $(1 \le i < j \le 3)$ :

$$[v_{i}|v|^{2}\sqrt{\mu}, v_{i}|v|^{2}\sqrt{\mu}], \ [v_{i}^{2}\sqrt{\mu}, v_{i}^{2}\sqrt{\mu}], \ [v_{i}v_{j}\sqrt{\mu}, v_{i}v_{j}\sqrt{\mu}],$$

$$[v_{i}\sqrt{\mu}, 0], \ [0, v_{i}\sqrt{\mu}], \ [\sqrt{\mu}, 0], \ [0, \sqrt{\mu}].$$

$$(22)$$

By expanding the r.h.s. of (12) with respect to the same velocity variables and comparing the coefficients of each basis element in (22) we obtain the macroscopic equations (16)-(20). Given the full basis in (22), for fixed (t,x),  $l_c(t,x)$ ,  $l_i(t,x)$ ,  $l_{ii}(t,x)$ , and  $l_a^{\pm}(t,x)$ , from (16)-(20), take the form

(23) 
$$\int_{\mathbb{P}^3} l((\mathbf{I} - \mathbf{P})f) \cdot \epsilon_n(v) dv.$$

Here  $l((\mathbf{I} - \mathbf{P})f)$  is defined in (13) and the  $\{\epsilon_n(v)\}$ , which only depend on v, are linear combinations of the elements of (22). Similarly  $h_c$ ,  $h_i$ ,  $h_{ij}$ ,  $h_{bi}^{\pm}$  and  $h_a^{\pm}$  from (16)-(20) are also of the form

(24) 
$$\int_{\mathbb{R}^3} h(f) \cdot \epsilon_n(v) dv,$$

where h(f) is defined in (14). In the next two lemmas, we will estimate the right side of the macroscopic equations using (21), (23) and (24).

**Lemma 4.** Let 
$$\alpha = [\alpha_0, \alpha_1, \alpha_2, \alpha_3], |\alpha| \leq N - 1$$
, then for any  $1 \leq i, j \leq 3$ ,

$$||\partial^{\alpha}l_{c}||+||\partial^{\alpha}l_{i}||+||\partial^{\alpha}l_{ij}||+||\partial^{\alpha}l_{bi}^{\pm}||+||\partial^{\alpha}l_{a}^{\pm}||+||\partial^{\alpha}\mathcal{I}|| \leq C\sum_{|\bar{\alpha}|\leq 1}||(\mathbf{I}-\mathbf{P})\partial^{\bar{\alpha}}\partial^{\alpha}f||.$$

This Lemma is similar to [11, Lemma 7], but we prove it for completeness.

*Proof.* The estimate for  $\mathcal{J}$  follows from (21):

$$|\partial^{\alpha} \mathcal{J}| \le C \int_{\mathbb{R}^{3}} |v| \sqrt{\mu} |(\mathbf{I} - \mathbf{P}) \partial^{\alpha} f| \, dv \le C |(\mathbf{I} - \mathbf{P}) \partial^{\alpha} f|_{2}.$$

Square both sides and integrate over  $x \in \mathbb{R}^3$  to get the estimate in Lemma 4 for  $\mathcal{J}$ . For the other terms in Lemma 4, it suffices to estimate the representation (23) with (13). Let  $|\alpha| \leq N-1$ . Since  $\nu(v) \leq C(1+|v|)$  and K is bounded from  $L^2(\mathbb{R}^3; \mathbb{R}^2)$  to itself. We have by (13)

$$\begin{split} \left(\int \partial^{\alpha} l((\mathbf{I} - \mathbf{P})f) \cdot \epsilon_{n}(v) dv\right)^{2} &= \left(\int (\{\partial_{t} + v \cdot \nabla_{x} + L\}(\mathbf{I} - \mathbf{P})\partial^{\alpha} f) \cdot \epsilon_{n}(v) dv\right)^{2}, \\ &\leq C \int_{\mathbb{R}^{3}} |\epsilon_{n}(v)| dv \int_{\mathbb{R}^{3}} |\epsilon_{n}(v)| (|(\mathbf{I} - \mathbf{P})\partial_{t}\partial^{\alpha} f|^{2} + |v|^{2}|(\mathbf{I} - \mathbf{P})\nabla_{x}\partial^{\alpha} f|^{2}) dv \\ &+ C \int_{\mathbb{R}^{3}} |\epsilon_{n}(v)| dv \int_{\mathbb{R}^{3}} |\epsilon_{n}(v)| |(\nu - K)(\mathbf{I} - \mathbf{P})\partial^{\alpha} f|^{2} dv \\ &\leq C\{|(\mathbf{I} - \mathbf{P})\partial_{t}\partial^{\alpha} f|^{2}_{2} + |(\mathbf{I} - \mathbf{P})\nabla_{x}\partial^{\alpha} f|^{2}_{2} + |(\mathbf{I} - \mathbf{P})\partial^{\alpha} f|^{2}_{2}\}. \end{split}$$

Here we have used  $\partial^{\alpha}(\mathbf{I} - \mathbf{P})f = (\mathbf{I} - \mathbf{P})\partial^{\alpha}f$ , and the exponential decay of  $\epsilon_n(v)$ . The estimate in Lemma 4 follows by further integrating over  $x \in \mathbb{R}^3$ .

Next, we estimate coefficients of the higher order term h(f) on the right side of the macroscopic equations (16)-(20).

**Lemma 5.** Let (10) hold for  $M_0 > 0$ . Then for  $1 \le i \ne j \le 3$ ,

$$\sum_{|\alpha| \leq N} \{||\partial^{\alpha}h_c|| + ||\partial^{\alpha}h_i|| + ||\partial^{\alpha}h_{ij}|| + ||\partial^{\alpha}h_{bi}^{\pm}|| + ||\partial^{\alpha}h_a^{\pm}||\} \leq C\sqrt{M_0} \sum_{0 < |\overline{\alpha}| \leq N} ||\partial^{\overline{\alpha}}f||.$$

Notice that the left side of this estimate contains zero'th order derivatives but the right side does not. We have to tighten the estimate in this way because  $\mathbf{P}f$  (with no derivatives) is not part of the dissipation rate. We use Sobolev's inequality on the second order terms to remove the terms without derivatives.

*Proof.* Notice that it suffices to estimate (24) with (14). For the first term of h(f) in (14), we integrate by parts to get

$$\int \partial^{\alpha} \{ q(E+v \times B) \cdot \nabla_{v} f \} \cdot \epsilon_{n}(v) dv = \int \sum_{j} \partial^{\alpha} \{ q(E+v \times B)_{j} \partial_{v_{j}} f \} \cdot \epsilon_{n}(v) dv$$

$$= \sum_{j} C_{\alpha_{1}}^{\alpha} \int \partial_{v_{j}} \{ (\partial^{\alpha_{1}} E + v \times \partial^{\alpha_{1}} B)_{j} q \partial^{\alpha-\alpha_{1}} f \} \cdot \epsilon_{n}(v) dv$$

$$= -\sum_{j} C_{\alpha_{1}}^{\alpha} \int (\partial^{\alpha_{1}} E + v \times \partial^{\alpha_{1}} B)_{j} \{ q \partial^{\alpha-\alpha_{1}} f \} \cdot \partial_{v_{j}} \epsilon_{n}(v) dv.$$

Take the square of the above and further integrate over  $x \in \mathbb{R}^3$ , the result is

(25) 
$$\leq C \sum_{\mathbb{R}^3} \{ |\partial^{\alpha_1} E|^2 + |\partial^{\alpha_1} B|^2 \} \left\{ \int |\partial^{\alpha - \alpha_1} f|^2 dv \right\} dx.$$

This follows from Cauchy-Schwartz and the exponential decay of  $\nabla_v \epsilon_n(v)$ .

We will estimate (25) in two steps. First assume  $|\alpha - \alpha_1| \leq N/2$ . In this case we use the embedding  $W^{1,6}(\mathbb{R}^3) \subset L^{\infty}(\mathbb{R}^3)$  combined with the  $L^6(\mathbb{R}^3)$  Sobolev inequality for gradients to obtain

$$\sup_{x \in \mathbb{R}^3} \int_{\mathbb{R}^3} |\partial^{\alpha - \alpha_1} f(x, v)|^2 dv \le C \int_{\mathbb{R}^3} \|\partial^{\alpha - \alpha_1} f\|_{W^{1, 6}(\mathbb{R}^3)}^2 dv,$$
$$\le C \int_{\mathbb{R}^3} \|\nabla_x \partial^{\alpha - \alpha_1} f\|_{W^{1, 2}(\mathbb{R}^3)}^2 dv.$$

Therefore, when  $|\alpha - \alpha_1| \leq N/2$  and  $N \geq 4$  we see that

$$\sqrt{|(25)|} \leq C\{||\partial^{\alpha_1}E(t)|| + ||\partial^{\alpha_1}B(t)||\} \sum_{0<|\bar{\alpha}|\leq 2} ||\partial^{\bar{\alpha}}\partial^{\alpha-\alpha_1}f(t)||.$$

The small amplitude assumption (10) completes the estimate in this case.

Alternatively if  $|\alpha - \alpha_1| > N/2$ , then  $|\alpha_1| \leq N/2$  and we use the embedding  $H^2(\mathbb{R}^3) \subset L^{\infty}(\mathbb{R}^3)$  to obtain

$$\sup_{x\in\mathbb{R}^3} \left( |\partial^{\alpha_1} E| + |\partial^{\alpha_1} B| \right) \leq C \sum_{|\bar{\alpha}| \leq 2} \{ ||\partial^{\bar{\alpha}} \partial^{\alpha_1} E(t)|| + ||\partial^{\bar{\alpha}} \partial^{\alpha_1} B(t)|| \}.$$

In this case

$$\sqrt{|(25)|} \leq C||\partial^{\alpha-\alpha_1}f||\sum_{|\bar{\alpha}|\leq 2}\{||\partial^{\bar{\alpha}}\partial^{\alpha_1}E(t)||+||\partial^{\bar{\alpha}}\partial^{\alpha_1}B(t)||\}.$$

Again, the small amplitude assumption (10) completes the estimate.

For the second term of h(f) in (14) we have

$$\int \frac{q}{2} \partial^{\alpha} \{ (E \cdot v) f \} \cdot \epsilon_n(v) dv = \sum_{\alpha} C_{\alpha_1}^{\alpha} \int \left\{ \frac{q}{2} (\partial^{\alpha_1} E \cdot v) \partial^{\alpha - \alpha_1} f \right\} \cdot \epsilon_n(v) dv$$

$$\leq C \sum_{\alpha} |\partial^{\alpha_1} E| \left\{ \int_{\alpha} |\partial^{\alpha - \alpha_1} f|^2 dv \right\}^{1/2}.$$

This term is therefore easily treated by the last argument.

For the third term of h(f), we have

$$\left\| \int \partial^{\alpha} \Gamma(f, f) \cdot \epsilon_n(v) dv \right\| \leq \sum_{\alpha} C_{\alpha_1}^{\alpha} \left\| \int \Gamma(\partial^{\alpha_1} f, \partial^{\alpha - \alpha_1} f) \cdot \epsilon_n(v) dv \right\|.$$

Without loss of generality assume  $|\alpha_1| \leq N/2$ . From Lemma 3, the last line is

$$\leq C \sup_{x \in \mathbb{R}^3} \left\{ \int |\partial^{\alpha_1} f(t, x, v)|^2 dv \right\}^{1/2} ||\partial^{\alpha - \alpha_1} f(t)||.$$

Again, we first use the embedding  $W^{1,6}(\mathbb{R}^3) \subset L^{\infty}(\mathbb{R}^3)$  and second the  $L^6(\mathbb{R}^3)$  Sobolev inequality to see that the above is

$$\leq C||\partial^{\alpha-\alpha_1}f(t)||\sum_{0<|\bar{\alpha}|\leq 2}||\partial^{\bar{\alpha}}\partial^{\alpha_1}f(t)||\leq C\sqrt{M_0}\sum_{0<|\bar{\alpha}|\leq 2}||\partial^{\bar{\alpha}}\partial^{\alpha_1}f(t)||.$$

The last inequality follows from (10). This completes the estimate of h(f).

Next we estimate the electric field E(t,x) in terms of f(t,x,v) through the macroscopic equation (19) using Lemma 5.

**Lemma 6.** Say [f, E, B] is a classical solution to (4) and (7) with (8). Let the small amplitude assumption (10) be valid for some  $M_0 \le 1$ .  $\exists C > 0$  such that

$$\frac{1}{C} \sum_{|\alpha| \le N-1} ||\partial^{\alpha} E(t)|| \le ||(\mathbf{I} - \mathbf{P})f|| + \sum_{0 < |\alpha| \le N} ||\partial^{\alpha} f(t)||.$$

Lemma 5 is used to remove the hydrodynamic part,  $\mathbf{P}f$ , from this upper bound.

*Proof.* We use the plus part of the macroscopic equation (19):

$$-2\partial^{\alpha} E_{i} = \partial^{\alpha} l_{bi}^{+} + \partial^{\alpha} h_{bi}^{+} - \partial^{\alpha} \partial_{t} b_{i} - \partial^{\alpha} \partial^{i} a_{+}.$$

By (15),

$$\|\partial^{\alpha}\partial_{t}b_{i}\| + \|\partial^{\alpha}\partial^{i}a_{+}\| \le C\{\|\mathbf{P}\partial^{\alpha}\partial_{t}f\| + \|\mathbf{P}\partial^{\alpha}\partial^{i}f\|\} \le C\{\|\partial^{\alpha}\partial_{t}f\| + \|\partial^{\alpha}\partial^{i}f\|\}.$$

Since  $M_0 \leq 1$  in assumption (10), applying Lemma 4 to  $\partial^{\alpha} l_{bi}^+$  and Lemma 5 to  $\partial^{\alpha} h_{bi}^+$  we deduce Lemma 6.

We now prove the positivity of (5) for a classical solution of small amplitude to the full Vlasov-Maxwell-Boltzmann system, [f(t, x, v), E(t, x), B(t, x)]. The proof makes use of the estimates established in this section (Lemma 4, 5, 6) in addition to an exact cancellation property of the macroscopic equations.

Proof of Theorem 2. From Lemma 1 we have

$$\langle L\partial^{\alpha} f, \partial^{\alpha} f \rangle \geq \delta |(\mathbf{I} - \mathbf{P})\partial^{\alpha} f|_{\nu}^{2}.$$

It thus suffices to show that if (10) is valid for some small  $M_0 > 0$ , then there are constants  $C_1, C_2 > 0$  such that

$$\sum_{0<|\alpha|\leq N} \|\mathbf{P}\partial^{\alpha} f(t)\|_{\nu}^{2} \leq C_{1} \sum_{|\alpha|\leq N} \|(\mathbf{I} - \mathbf{P})\partial^{\alpha} f(t)\|_{\nu}^{2} + C_{2} \frac{dG(t)}{dt}.$$

Here G(t) is defined in Theorem 2. By (15), we clearly have

$$\frac{1}{C}||\mathbf{P}\partial^{\alpha}f(t)||_{\nu}^{2}\leq||\partial^{\alpha}[a_{+},a_{-}]||^{2}+||\partial^{\alpha}b||^{2}+||\partial^{\alpha}c||^{2}.$$

The rest of the proof is therefore devoted to establishing

$$\sum_{0<|\alpha|\leq N} \{||\partial^{\alpha}[a_{+}, a_{-}]||^{2} + ||\partial^{\alpha}b||^{2} + ||\partial^{\alpha}c||^{2}\} \leq C \sum_{|\alpha|\leq N} ||(\mathbf{I} - \mathbf{P})\partial^{\alpha}f(t)||_{\nu}^{2} + CM_{0} \sum_{0<|\alpha|\leq N} ||\partial^{\alpha}f(t)||^{2} + C_{0} \frac{dG(t)}{dt},$$

which implies Theorem 2 when  $M_0$  is sufficiently small. This is because the last term on the right can be neglected for  $M_0$  sufficiently small:

$$\begin{split} ||\partial^{\alpha}f(t)||^{2} &= ||\mathbf{P}\partial^{\alpha}f(t)||^{2} + ||(\mathbf{I} - \mathbf{P})\partial^{\alpha}f(t)||^{2} \\ &\leq \{||\partial^{\alpha}[a_{+}, a_{-}]|| + ||\partial^{\alpha}b|| + ||\partial^{\alpha}c||\}^{2} + ||(\mathbf{I} - \mathbf{P})\partial^{\alpha}f(t)||^{2}. \end{split}$$

To prove (26), we estimate the macroscopic equations (16) through (20).

The estimate for b(t,x) with at least one spatial derivative. We first estimate  $\nabla_x \partial^{\alpha} b$  with  $|\alpha| \leq N - 1$ . We take  $\partial^j$  of (17) and (18) to get

$$\begin{split} \Delta_{x}\partial^{\alpha}b_{i} &= \sum_{j}\partial^{jj}\partial^{\alpha}b_{i} = \left\{\sum_{j\neq i}\partial^{jj}\partial^{\alpha}b_{i}\right\} + \partial^{ii}\partial^{\alpha}b_{i} \\ &= \sum_{j\neq i} \left\{-\partial^{ij}\partial^{\alpha}b_{j} + \partial^{j}\partial^{\alpha}l_{ij} + \partial^{j}\partial^{\alpha}h_{ij}\right\} + \left\{\partial^{i}\partial^{\alpha}l_{i} + \partial^{i}\partial^{\alpha}h_{i} - \partial_{t}\partial^{i}\partial^{\alpha}c\right\} \\ &= \sum_{j\neq i} \left\{\partial_{t}\partial^{i}\partial^{\alpha}c - \partial^{i}\partial^{\alpha}l_{j} - \partial^{i}\partial^{\alpha}h_{j}\right\} + \sum_{j\neq i} \left\{\partial^{j}\partial^{\alpha}l_{ij} + \partial^{j}\partial^{\alpha}h_{ij}\right\} \\ &+ \partial^{i}\partial^{\alpha}l_{i} + \partial^{i}\partial^{\alpha}h_{i} - \partial_{t}\partial^{i}\partial^{\alpha}c. \end{split}$$

Since  $\sum_{i\neq i} \partial_t \partial^i \partial^\alpha c = 2\partial_t \partial^i \partial^\alpha c$ , we get

$$\begin{split} &= \quad \partial_t \partial^i \partial^\alpha c + \sum_{j \neq i} \{ -\partial^i \partial^\alpha l_j - \partial^i \partial^\alpha h_j + \partial^j \partial^\alpha l_{ij} + \partial^j \partial^\alpha h_{ij} \} + \partial^i \partial^\alpha l_i + \partial^i \partial^\alpha h_i \\ &= \quad -\partial^{ii} \partial^\alpha b_i + \sum_{j \neq i} \{ -\partial^i \partial^\alpha l_j - \partial^i \partial^\alpha h_j + \partial^j \partial^\alpha l_{ij} + \partial^j \partial^\alpha h_{ij} \} + 2 \{ \partial^i \partial^\alpha l_i + \partial^i \partial^\alpha h_i \}. \end{split}$$

Therefore, multiplying with  $\partial^{\alpha}b_i$  yields:

$$(27) ||\nabla_x \partial^\alpha b_i|| \le C \sum \{||\partial^\alpha l_j|| + ||\partial^\alpha h_j|| + ||\partial^\alpha l_{ij}|| + ||\partial^\alpha h_{ij}|| + ||\partial^\alpha h_i|| + ||\partial^\alpha h_i|| \}.$$

This is bounded by the first two terms on the r.h.s. of (26) by Lemma 4 and Lemma 5. We treat the pure temporal derivatives of b(t, x) at the end the proof.

The estimate for c(t,x). From (16) and (17), for  $|\alpha| \leq N-1$ , we have

$$\begin{aligned} ||\nabla_x \partial^\alpha c|| &\leq ||\partial^\alpha l_c|| + ||\partial^\alpha h_c||, \\ ||\partial_t \partial^\alpha c|| &\leq ||\partial^i \partial^\alpha b_i|| + ||\partial^\alpha l_i|| + ||\partial^\alpha h_i||. \end{aligned}$$

By (27), Lemma 4 and 5 both  $||\partial_t \partial^{\alpha} c||^2$  and  $||\nabla_x \partial^{\alpha} c||^2$  are bounded by the first two terms on the r.h.s. of (26).

The estimate for  $[a_+(t,x), a_-(t,x)]$ . By (20), for  $|\alpha| \leq N-1$ , we get

$$||\partial_t \partial^{\alpha} [a_+, a_-]|| \le ||\partial^{\alpha} l_a^{\pm}|| + ||\partial^{\alpha} h_a^{\pm}||.$$

By Lemma 4 and 5,  $||\partial_t \partial^{\alpha}[a_+, a_-]||$  is thus bounded by the first two terms on the r.h.s. of (26).

We now turn to purely spatial derivatives of  $a_+(t,x)$ . Let  $|\alpha| \leq N-1$  and

$$\alpha = [0, \alpha_1, \alpha_2, \alpha_3] \neq 0.$$

By taking  $\partial^i$  of (19) and summing over i, we get

(28) 
$$-\Delta_x \partial^{\alpha} a_{\pm} \pm \nabla_x \cdot \partial^{\alpha} E = \nabla_x \cdot \partial_t \partial^{\alpha} b - \sum_i \partial^i \partial^{\alpha} \{ l_{bi}^{\pm} + h_{bi}^{\pm} \}.$$

From the Maxwell system in (21) we have

$$\nabla_x \cdot \partial^\alpha E = \partial^\alpha a_+ - \partial^\alpha a_-.$$

We plug this into (28), then multiply (28) with  $\partial^{\alpha} a_{\pm}$  respectively and integrate over  $\mathbb{R}^3_x$ . Adding the two resulting equations yields

$$\begin{split} &||\nabla_x \partial^\alpha a_+||^2 + ||\nabla_x \partial^\alpha a_-||^2 + ||\partial^\alpha a_+ - \partial^\alpha a_-||^2 \\ &= \int \sum_{i,\pm} \partial^i \partial^\alpha a_\pm \left\{ -\partial_t \partial^\alpha b_i + \partial^\alpha l_{bi}^\pm + \partial^\alpha h_{bi}^\pm \right\} dx. \end{split}$$

We therefore conclude that

$$||\nabla_x \partial^\alpha a_+|| + ||\nabla_x \partial^\alpha a_-|| \le ||\partial_t \partial^\alpha b|| + \sum_{i,\pm} ||\partial^\alpha \{l_{bi}^{\pm} + h_{bi}^{\pm}\}||.$$

Since  $\alpha$  is purely spatial, this is bounded by the first two terms on the right side of (26) by (27), Lemma 4 and 5.

Now we consider the case  $\alpha = 0$ . The same procedure yields

$$\frac{1}{2}||\nabla_x a_+||^2 + \frac{1}{2}||\nabla_x a_-||^2 \le \int \nabla_x \cdot \partial_t b(a_+ + a_-) + C\sum_{i,\pm} ||\{l_{bi}^{\pm} + h_{bi}^{\pm}\}||^2.$$

We redistribute the derivative in t, as in [12], to estimate the first term by

$$\int \nabla_x \cdot \partial_t b(a_+ + a_-) dx = \frac{d}{dt} \int \nabla_x \cdot b(a_+ + a_-) dx - \int \nabla_x \cdot b \partial_t (a_+ + a_-) dx - \int \nabla_x \cdot b \partial_t (a_+ + a_-) dx + C ||\nabla_x b||^2 + C \sum_+ ||\partial_t a_+||^2.$$

The first term on the right is dG/dt and the last two terms have already been estimated by the other terms on the right side of (26).

The estimate for b(t,x) with purely temporal derivatives. Lastly, we consider  $\partial_t \partial^{\alpha} b_i(t,x)$  with  $\alpha = [\alpha_0, 0, 0, 0]$  and  $\alpha_0 \leq N-1$ . In [11], Guo used a procedure which involved the Poincaré inequality and Maxwell's equations (21) to estimate  $\partial^{\alpha} \partial_t b_i$  from the macroscopic equation (19), which includes the electric field. But the Poincaré inequality does not hold in the whole space case to estimate the low order pure temporal derivatives of b.

On the other hand, there are two equations for  $\partial_t b_i$  in (19). We can add them together to cancel the most dangerous term,  $E_i$ , and obtain

$$2\partial_t b_i + \partial^i a_+ + \partial^i a_- = l_{bi}^+ + h_{bi}^+ + l_{bi}^- + h_{bi}^-.$$

Without this exact cancellation, our estimates for  $E_i$  are not sufficient to conclude the required estimates for  $\partial_t \partial^{\alpha} b_i(t,x)$ . From this equation we can estimate the temporal derivatives of b directly:

$$||\partial_t \partial^\alpha b_i(t,x)||^2 \leq C \sum_{\pm} \left( ||\partial^i \partial^\alpha a_\pm||^2 + ||\partial^\alpha \{l_{bi}^\pm + h_{bi}^\pm\}||^2 \right).$$

If  $\alpha_0 > 0$  then the terms on the right have already been estimated above by the first two terms terms on the right side of (26).

Alternatively if  $\alpha_0 = 0$ , we use the previous estimate for  $\partial^i a_{\pm}$  to obtain

$$\begin{split} ||\partial_t b_i(t,x)||^2 \leq & C \frac{d}{dt} \int \nabla_x \cdot b(a_+ + a_-) dx + C ||\nabla_x b||^2 \\ & + C \sum_{\pm} \left( ||\partial_t a_{\pm}||^2 + ||\{l_{bi}^{\pm} + h_{bi}^{\pm}\}||^2 \right). \end{split}$$

From Lemma 4 and 5, we finish Theorem 2 with  $G = \int_{\mathbb{R}^3} \nabla_x \cdot b(a_+ + a_-) dx$ .

#### 5. Global Existence

The main goal of this section is to establish global existence of classical solutions to the Vlasov-Maxwell-Boltzmann system, (4) and (7) with (8). Our proof uses Theorem 2 and energy estimates (Theorem 3) developed in this section. New difficulties arise in these energy estimates for both the terms with velocity derivatives and those without because we can not control the hydrodynamic part of a solution by the linear operator in the whole space. For this reason we have already removed the hydrodynamic part and it's velocity derivatives from the dissipation.

As a consequence, we develop different procedures to prove the energy estimates with weaker dissipation. In particular, we estimate the nonlinear part of the collision operator by the weaker dissipation in Lemma 7. Further, including the electric field in the dissipation rate (by Lemma 6) enables us to control other nonlinearities without velocity derivatives through Sobolev inequalities. Additionally, we split the solution as (11) in several places because this allows us to absorb velocity derivatives and exploit the exponential velocity decay of the hydrodynamic part (15) in our estimates.

We have the following global existence theorem:

**Theorem 3.** Let [f(t,x,v), E(t,x), B(t,x)] be a classical solution to to the Vlasov–Maxwell-Boltzmann system (4) and (7) with (8) satisfying (10). Then there exists an instant energy functional satisfying

$$\frac{d}{dt}\mathcal{E}(t) + \mathcal{D}(t) \le \sqrt{\mathcal{E}(t)}\mathcal{D}(t).$$

With (10), this yields global existence from a standard continuity argument.

First we give a nonlinear estimate which will be used in the proof Theorem 3:

**Lemma 7.** Let  $|\alpha| + |\beta| \le N$ . Then there is an instant energy functional such that

$$\left| \left( \partial_{\beta}^{\alpha} \Gamma(f, f), \partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f \right) \right| \leq \mathcal{E}^{1/2}(t) \mathcal{D}(t).$$

The main improvement of this estimate over Lemma 3 is the presence of the dissipation,  $\mathcal{D}(t)$ . Clearly  $||E||^2$  is not needed in the dissipation norm in Lemma 7.

*Proof.* First assume  $\beta = 0$ . We have

$$\partial^{\alpha}\Gamma(f,f) = \sum_{\alpha_{1} \leq \alpha} C^{\alpha}_{\alpha_{1}}\Gamma(\partial^{\alpha-\alpha_{1}}f,\partial^{\alpha_{1}}f),$$

Furthermore (see for instance [6, p.59-60]):

(29) 
$$\langle \Gamma(g, p), r \rangle = \langle \Gamma(g, p), (\mathbf{I} - \mathbf{P})r \rangle.$$

Plugging these last two observations into Lemma 3 yields

$$|\langle \partial^{\alpha} \Gamma(f,f), \partial^{\alpha} f \rangle| \leq C \sum_{\alpha_1 < \alpha} \left( |\partial^{\alpha - \alpha_1} f|_{\nu} |\partial^{\alpha_1} f|_2 + |\partial^{\alpha - \alpha_1} f|_2 |\partial^{\alpha_1} f|_{\nu} \right) |(\mathbf{I} - \mathbf{P}) \partial^{\alpha} f|_{\nu}.$$

Further integrate over  $\mathbb{R}^3_x$  to obtain

$$\begin{aligned} &|(\partial^{\alpha}\Gamma(f,f),\partial^{\alpha}(\mathbf{I}-\mathbf{P})f)|\\ &\leq C\sum_{\alpha_{1}\leq\alpha}\int_{\mathbb{R}^{3}}\left(|\partial^{\alpha-\alpha_{1}}f|_{\nu}|\partial^{\alpha_{1}}f|_{2}+|\partial^{\alpha-\alpha_{1}}f|_{2}|\partial^{\alpha_{1}}f|_{\nu}\right)|(\mathbf{I}-\mathbf{P})\partial^{\alpha}f|_{\nu}dx.\end{aligned}$$

By Cauchy-Schwartz and symmetry the above is

$$\leq C \|(\mathbf{I} - \mathbf{P})\partial^{\alpha} f\|_{\nu} \sum_{\alpha_{1} < \alpha} \left\{ \int_{\mathbb{R}^{3}} \left( |\partial^{\alpha - \alpha_{1}} f|_{\nu} |\partial^{\alpha_{1}} f|_{2} \right)^{2} dx \right\}^{1/2}.$$

Our goal is to show that

$$\left\{ \int_{\mathbb{R}^3} \left( |\partial^{\alpha - \alpha_1} f|_{\nu} |\partial^{\alpha_1} f|_2 \right)^2 dx \right\}^{1/2} \le \mathcal{E}^{1/2}(t) \mathcal{D}^{1/2}(t).$$

This will imply Lemma 7 in the case  $\beta = 0$ . We will use the embedding  $W^{1,6}(\mathbb{R}^3) \subset L^{\infty}(\mathbb{R}^3)$  followed by the  $L^6(\mathbb{R}^3)$  Sobolev inequality to one of the terms inside the x integration. But, because the dissipation does not contain  $\mathbf{P}f$ , it is not enough to just take the supremum in x over the term with the smaller number of total derivatives. Instead, if  $|\alpha - \alpha_1| \leq N/2$  then we always take the sup on the  $\nu$  norm:

$$\left\{ \int_{\mathbb{R}^3} \left( |\partial^{\alpha - \alpha_1} f|_{\nu} |\partial^{\alpha_1} f|_2 \right)^2 dx \right\}^{1/2} \leq \|\partial^{\alpha_1} f\| \sup_{x \in \mathbb{R}^3} |\partial^{\alpha - \alpha_1} f(x)|_{\nu} \\
\leq C \|\partial^{\alpha_1} f\| \left\{ \int_{\mathbb{R}^3} \nu(v) \|\partial^{\alpha - \alpha_1} f(v)\|_{W^{1,6}(\mathbb{R}^3_x)}^2 dv \right\}^{1/2} \\
\leq C \|\partial^{\alpha_1} f\| \sum_{|\bar{\alpha}| \leq 1} \left\{ \int_{\mathbb{R}^3 \times \mathbb{R}^3} \nu(v) |\partial^{\alpha - \alpha_1} \partial^{\bar{\alpha}} \nabla_x f|^2 dx dv \right\}^{1/2} \leq \mathcal{E}^{1/2}(t) \mathcal{D}^{1/2}(t).$$

Alternatively, if  $|\alpha - \alpha_1| > N/2$  then  $|\alpha_1| \leq N/2$  and  $\|\partial^{\alpha - \alpha_1} f\|_{\nu}$  is part of the dissipation so that it is ok to take the supremum on the other norm to obtain

$$\left\{ \int_{\mathbb{R}^3} \left( |\partial^{\alpha - \alpha_1} f|_{\nu} |\partial^{\alpha_1} f|_2 \right)^2 dx \right\}^{1/2} \leq \|\partial^{\alpha - \alpha_1} f\|_{\nu} \sup_{x \in \mathbb{R}^3} |\partial^{\alpha_1} f(x)|_2$$

$$\leq C \|\partial^{\alpha - \alpha_1} f\|_{\nu} \sum_{|\bar{\alpha}| \leq 1} \left\{ \int_{\mathbb{R}^3 \times \mathbb{R}^3} |\partial^{\alpha_1} \partial^{\bar{\alpha}} \nabla_x f|^2 dx dv \right\}^{1/2} \leq \mathcal{D}^{1/2}(t) \mathcal{E}^{1/2}(t).$$

This completes the proof of Lemma 7 when  $\beta = 0$ . If  $|\beta| > 0$  then we expand f as in (11) to obtain

$$\Gamma(f, f) = \Gamma(\mathbf{P}f, \mathbf{P}f) + \Gamma(\mathbf{P}f, (\mathbf{I} - \mathbf{P})f) + \Gamma((\mathbf{I} - \mathbf{P})f, \mathbf{P}f) + \Gamma((\mathbf{I} - \mathbf{P})f, (\mathbf{I} - \mathbf{P})f).$$

We will estimate each of these terms using similar Sobolev embedding arguments to above. However, we use this splitting because  $\partial_{\beta} \mathbf{P} f$  is not part of the dissipation. The exponential velocity decay of  $\mathbf{P} f$  is used to control the hard sphere weight (6). Alternatively  $(\mathbf{I} - \mathbf{P}) f$  is part of the dissipation. We only estimate the first two terms below. The other two terms can be treated similarly.

Lemma 3 and the definition (15) yield

$$\begin{aligned} & \left| \langle \partial_{\beta}^{\alpha} \Gamma(\mathbf{P}f, \mathbf{P}f), \partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f \rangle \right| \\ \leq & C \sum_{\alpha_{1} \leq \alpha} \left[ |\partial^{\alpha - \alpha_{1}} \mathbf{P}f|_{2} |\partial^{\alpha_{1}} \mathbf{P}f|_{2} + |\partial^{\alpha - \alpha_{1}} \mathbf{P}f|_{2} |\partial^{\alpha_{1}} \mathbf{P}f|_{2} \right] |\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f|_{\nu}. \end{aligned}$$

Further integrate the above over  $\mathbb{R}^3_x$ , use Cauchy-Schwartz and symmetry to obtain

$$\begin{split} & \left| \left( \partial_{\beta}^{\alpha} \Gamma(\mathbf{P}f, \mathbf{P}f), \partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f \right) \right| \\ \leq C \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f\|_{\nu} \sum_{\alpha_{1} < \alpha} \left\{ \int_{\mathbb{R}^{3}} |\partial^{\alpha - \alpha_{1}} \mathbf{P}f|_{2}^{2} |\partial^{\alpha_{1}} \mathbf{P}f|_{2}^{2} dx \right\}^{1/2}. \end{split}$$

Without loss of generality assume  $|\alpha_1| \leq N/2$ . Then by the same embedding

$$\int_{\mathbb{R}^{3}} |\partial^{\alpha-\alpha_{1}} \mathbf{P} f|_{2}^{2} |\partial^{\alpha_{1}} \mathbf{P} f|_{2}^{2} dx \leq \left( \sup_{x \in \mathbb{R}^{3}} |\partial^{\alpha_{1}} f(x)|_{2}^{2} \right) \|\partial^{\alpha-\alpha_{1}} \mathbf{P} f\|^{2} \\
\leq C \left( \sum_{|\bar{\alpha}| \leq 1} \|\partial^{\alpha_{1}} \partial^{\bar{\alpha}} \nabla_{x} f(x)\|^{2} \right) \|\partial^{\alpha-\alpha_{1}} \mathbf{P} f\|^{2} \leq \mathcal{D}(t) \mathcal{E}(t).$$

This yields the estimate in Lemma 7 for the  $\partial_{\beta}^{\alpha}\Gamma(\mathbf{P}f,\mathbf{P}f)$  term.

Finally, we estimate the  $\partial_{\beta}^{\alpha}\Gamma(\mathbf{P}f,(\mathbf{I}-\mathbf{P})f)$  term. In this case Lemma 3 yields

$$\begin{split} & \left| \left( \partial_{\beta}^{\alpha} \Gamma(\mathbf{P}f, (\mathbf{I} - \mathbf{P})f), \partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P})f \right) \right| \\ \leq C \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P})f\|_{\nu} \sum \left\{ \int_{\mathbb{R}^{3}} \left( |\partial_{\beta_{1}}^{\alpha - \alpha_{1}} \mathbf{P}f|_{\nu} |\partial_{\beta_{2}}^{\alpha_{1}} (\mathbf{I} - \mathbf{P})f|_{2} \right)^{2} dx \right\}^{1/2} \\ + C \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P})f\|_{\nu} \sum \left\{ \int_{\mathbb{R}^{3}} \left( |\partial_{\beta_{1}}^{\alpha - \alpha_{1}} \mathbf{P}f|_{2} |\partial_{\beta_{2}}^{\alpha_{1}} (\mathbf{I} - \mathbf{P})f|_{\nu} \right)^{2} dx \right\}^{1/2}. \end{split}$$

Since  $(\mathbf{I} - \mathbf{P})f$  is always part of the dissipation, we can take the supremum in x on the term with the least number of total derivatives and use the embedding  $H^2(\mathbb{R}^3) \subset L^\infty(\mathbb{R}^3)$  to establish Lemma 7 for this term. And the last two terms can be estimated exactly the same as this last one.

We will now introduce a bit more notation. For  $0 \le m \le N$ , a reduced order instant energy functional satisfies

$$\frac{1}{C}\mathcal{E}_m(t) \le \sum_{|\beta| \le m, |\alpha| + |\beta| \le N} ||\partial_{\beta}^{\alpha} f(t)||^2 + \sum_{|\alpha| \le N} ||[\partial^{\alpha} E(t), \partial^{\alpha} B(t)]||^2 \le C\mathcal{E}_m(t).$$

Similarly the reduced order dissipation rate is given by

$$\mathcal{D}_{m}(t) \equiv ||E(t)||^{2} + \sum_{0 < |\alpha| \le N} ||\partial^{\alpha} f(t)||^{2} + \sum_{|\beta| \le m, |\alpha| + |\beta| \le N} ||\partial^{\alpha} \{\mathbf{I} - \mathbf{P}\} f(t)||^{2}.$$

Note that,  $\mathcal{E}_N(t) = \mathcal{E}(t)$  and  $\mathcal{D}_N(t) = \mathcal{D}(t)$ . With this notation in hand, we can now prove the main global existence theorem of this last section by induction.

Proof of Theorem 3. We will show that for any integer m with  $0 \le m \le N$  we have

(30) 
$$\frac{d}{dt}\mathcal{E}_m(t) + \mathcal{D}_m(t) \le \sqrt{\mathcal{E}(t)}\mathcal{D}(t).$$

Theorem 3 is a special case of (30) with m = N. We will prove (30) by an induction over m, the order of the v-derivatives. We may use different equivalent instant energy functionals on different lines without mention.

For m=0 and  $|\alpha| \leq N$ , by taking the pure  $\partial^{\alpha}$  derivatives of (4) we obtain

$$\{\partial_t + v \cdot \nabla_x + q(E + v \times B) \cdot \nabla_v\} \partial^{\alpha} f - \{\partial^{\alpha} E \cdot v\} \sqrt{\mu} q_1 + L\{\partial^{\alpha} f\}$$

$$= -\sum_{\alpha_1 \neq 0} C^{\alpha}_{\alpha_1} q(\partial^{\alpha_1} E + v \times \partial^{\alpha_1} B) \cdot \nabla_v \partial^{\alpha - \alpha_1} f$$

$$+ \sum_{\alpha_1 \leq \alpha} C^{\alpha}_{\alpha_1} \left\{ \frac{q}{2} (\partial^{\alpha_1} E \cdot v) \partial^{\alpha - \alpha_1} f + \Gamma(\partial^{\alpha_1} f, \partial^{\alpha - \alpha_1} f) \right\}.$$

We will take the  $L^2(\mathbb{R}^3_x \times \mathbb{R}^3_v)$  inner product of this with  $\partial^{\alpha} f$ . The first term is clearly  $\frac{1}{2} \frac{d}{dt} \|\partial^{\alpha} f(t)\|^2$ . From the Maxwell system (7) we have

$$-\left(\partial^{\alpha} E \cdot v \sqrt{\mu} q_{1}, \partial^{\alpha} f\right) = -\partial^{\alpha} E \cdot \partial^{\alpha} \mathcal{J} = \frac{1}{2} \frac{d}{dt} \left( ||\partial^{\alpha} E(t)||^{2} + ||\partial^{\alpha} B(t)||^{2} \right).$$

Next up, since  $\nu(v) \geq c(1+|v|)$ , we use two applications of Cauchy-Schwartz to get

$$\int_{\mathbb{R}^{3} \times \mathbb{R}^{3}} \left| q(\partial^{\alpha_{1}} E + v \times \partial^{\alpha_{1}} B) \cdot \nabla_{v} \partial^{\alpha - \alpha_{1}} f \right| |\partial^{\alpha} f| \, dx dv$$

$$\leq \int_{\mathbb{R}^{3}} \left( |\partial^{\alpha_{1}} E| + |\partial^{\alpha_{1}} B| \right) \left\{ \int_{\mathbb{R}^{3}} \nu(v) \left| \nabla_{v} \partial^{\alpha - \alpha_{1}} f \right|^{2} dv \right\}^{1/2} \left\{ \int_{\mathbb{R}^{3}} \nu(v) \left| \partial^{\alpha} f \right|^{2} dv \right\}^{1/2} \, dx$$

$$\leq \|\partial^{\alpha} f\|_{\nu} \left\{ \int_{\mathbb{R}^{3}} \left( |\partial^{\alpha_{1}} E| + |\partial^{\alpha_{1}} B| \right)^{2} \left\{ \int_{\mathbb{R}^{3}} \nu(v) \left| \nabla_{v} \partial^{\alpha - \alpha_{1}} f \right|^{2} dv \right\} dx \right\}^{1/2}.$$

If  $|\alpha| = 0$  this term is non-existent. Therefore, for this term,  $0 < |\alpha| \le N$  and  $|\alpha_1| > 0$  so that either  $|\alpha_1| \le N/2$  or  $|\alpha - \alpha_1| + 1 \le N/2$ . Without loss of generality say  $|\alpha_1| \le N/2$ ; we use the embedding  $H^2(\mathbb{R}^3) \subset L^{\infty}(\mathbb{R}^3)$  to obtain

$$\sup_{x \in \mathbb{R}^3} \left( |\partial^{\alpha_1} E| + |\partial^{\alpha_1} B| \right) \leq C \sum_{|\bar{\alpha}| \leq 2} \left( ||\partial^{\bar{\alpha}} \partial^{\alpha_1} E(t)|| + ||\partial^{\bar{\alpha}} \partial^{\alpha_1} B(t)|| \right) \leq \sqrt{\mathcal{E}(t)}.$$

We can put the last two estimates together and use  $|\alpha| > 0$  to obtain

$$\int_{\mathbb{R}^{3}\times\mathbb{R}^{3}} \left| q(\partial^{\alpha_{1}}E + v \times \partial^{\alpha_{1}}B) \cdot \nabla_{v}\partial^{\alpha-\alpha_{1}}f \right| |\partial^{\alpha}f| \, dx dv$$

$$\leq \|\partial^{\alpha}f\|_{\nu} \sqrt{\mathcal{E}(t)} \|\nabla_{v}\partial^{\alpha-\alpha_{1}}f\|_{\nu} \leq \sqrt{\mathcal{E}(t)}\mathcal{D}(t).$$

It remains to estimate the last two nonlinear terms on the right in (31).

First we estimate  $\frac{q}{2}(\partial^{\alpha_1}E \cdot v)\partial^{\alpha-\alpha_1}f$ . Consider  $\alpha=0$  which implies  $\alpha_1=0$ . We bound for ||E|| by the dissipation to estimate this case. We split f as in (11) so that the exponential velocity decay of the hydrodynamic part controls the extra |v| growth:

$$\left| \left( \frac{q}{2} \{ E \cdot v \} f, f \right) \right| \le C \int_{\mathbb{R}^3 \times \mathbb{R}^3} |E||v| \left( |\mathbf{P}f|^2 + |(\mathbf{I} - \mathbf{P})f|^2 \right) dx dv.$$

By the Sobolev embedding  $H^2(\mathbb{R}^3) \subset L^{\infty}(\mathbb{R}^3)$  we have

$$\int_{\mathbb{R}^{3}\times\mathbb{R}^{3}} |E(t,x)||v||(\mathbf{I}-\mathbf{P})f|^{2}dxdv \leq C\left(\sup_{x\in\mathbb{R}^{3}} |E(t,x)|\right) \|(\mathbf{I}-\mathbf{P})f\|_{\nu}^{2}$$

$$\leq C\left(\sum_{|\alpha|\leq 2} \|\partial^{\alpha}E(t)\|\right) \|(\mathbf{I}-\mathbf{P})f\|_{\nu}^{2} \leq \sqrt{\mathcal{E}(t)}\mathcal{D}(t).$$

For the term with  $\mathbf{P}f$ , we obtain

$$\int_{\mathbb{R}^3 \times \mathbb{R}^3} |E||v||\mathbf{P}f|^2 dx dv \le C \left( \sup_{x \in \mathbb{R}^3} |\mathbf{P}f(x)|_2 \right) \int_{\mathbb{R}^3} |E||\mathbf{P}f|_2 dx.$$

By the Cauchy-Schwartz inequality

$$\int_{\mathbb{R}^3} |E| |\mathbf{P}f|_2 dx \le C ||E(t)|| ||\mathbf{P}f|| \le \mathcal{D}^{1/2}(t) \mathcal{E}^{1/2}(t).$$

We just controlled ||E(t)|| by the dissipation,  $\mathcal{D}^{1/2}(t)$ . For the other term, from (15), the embedding  $W^{1,6}(\mathbb{R}^3) \subset L^{\infty}(\mathbb{R}^3)$  and the Sobolev inequality we obtain

(32) 
$$\left( \sup_{x \in \mathbb{R}^3} |\mathbf{P}f(x)|_2 \right) \le C \|[a, b, c]\|_{W^{1,6}(\mathbb{R}^3)} \le C \sum_{|\alpha| \le 1} \|\nabla_x \partial^{\alpha} f\| \le C \mathcal{D}^{1/2}(t).$$

Adding together the last few estimates we obtain

$$\left| \left( \frac{q}{2} \{ E \cdot v \} f, f \right) \right| \le C \int_{\mathbb{R}^3 \times \mathbb{R}^3} |E| |v| \left( |\mathbf{P} f|^2 + |(\mathbf{I} - \mathbf{P}) f|^2 \right) dx dv \le \sqrt{\mathcal{E}(t)} \mathcal{D}(t).$$

This completes the estimate when  $\alpha = 0$ .

Alternatively if  $|\alpha| > 0$ , we use  $\nu(v) \ge c(1+|v|)$  and Cauchy-Schwartz twice as

$$\left| \left( \frac{q}{2} (\partial^{\alpha_1} E \cdot v) \partial^{\alpha - \alpha_1} f, \partial^{\alpha} f \right) \right| \leq C \int_{\mathbb{R}^3 \times \mathbb{R}^3} |\partial^{\alpha_1} E| \, \nu(v) \, \left| \partial^{\alpha - \alpha_1} f \right| \, |\partial^{\alpha} f| \, dv dx \\
\leq C \int_{\mathbb{R}^3} |\partial^{\alpha_1} E| \left\{ \int_{\mathbb{R}^3} \nu(v) \, \left| \partial^{\alpha - \alpha_1} f \right|^2 dv \right\}^{1/2} \left\{ \int_{\mathbb{R}^3} \nu(v) \, \left| \partial^{\alpha} f \right|^2 dv \right\}^{1/2} dx \\
\leq C \|\partial^{\alpha} f\|_{\nu} \left\{ \int_{\mathbb{R}^3} |\partial^{\alpha_1} E|^2 \left\{ \int_{\mathbb{R}^3} \nu(v) \, \left| \partial^{\alpha - \alpha_1} f \right|^2 dv \right\} dx \right\}^{1/2}.$$

Here  $\|\partial^{\alpha} f\|_{\nu}$  is controlled by the dissipation since  $|\alpha| > 0$ . But we could also have  $|\alpha| = |\alpha_1| = N$  and then  $\partial^{\alpha} E$  would not be controlled by the dissipation. Therefore this estimate requires more care.

If  $|\alpha - \alpha_1| \leq N/2$ , then to ensure that we have at least one derivative on f we use the embedding  $W^{1,6}(\mathbb{R}^3) \subset L^{\infty}(\mathbb{R}^3)$  followed by Sobolev's inequality to obtain

$$\sup_{x \in \mathbb{R}^3} \int_{\mathbb{R}^3} \nu(v) |\partial^{\alpha - \alpha_1} f(x, v)|^2 dv \le C \int_{\mathbb{R}^3} \nu(v) ||\partial^{\alpha - \alpha_1} f(v)||_{W^{1,6}(\mathbb{R}^3_x)}^2 dv$$

$$\le C \sum_{|\bar{\alpha}| \le 1} ||\nabla_x \partial^{\alpha - \alpha_1} \partial^{\bar{\alpha}} f||_{\nu}^2 \le C \mathcal{D}(t).$$

Alternatively, if  $|\alpha - \alpha_1| > N/2$  then  $|\alpha_1| \leq N/2$  and

$$(33) \quad \sup_{x \in \mathbb{R}^3} |\partial^{\alpha_1} E(t, x)|^2 \le C \|\partial^{\alpha_1} E\|_{W^{1, 6}(\mathbb{R}^3_x)}^2 \le C \sum_{|\bar{\alpha}| \le 1} \|\nabla_x \partial^{\alpha_1} \partial^{\bar{\alpha}} E\|^2 \le C \mathcal{E}(t).$$

In either case the last two estimates establish

$$\int_{\mathbb{R}^3} |\partial^{\alpha_1} E|^2 \left\{ \int_{\mathbb{R}^3} \nu(v) \left| \partial^{\alpha - \alpha_1} f \right|^2 dv \right\} dx \le \mathcal{E}(t) \mathcal{D}(t).$$

Combining these last few estimates, since  $|\alpha| > 0$ , we obtain

$$\left| \left( \frac{q}{2} (\partial^{\alpha_1} E \cdot v) \partial^{\alpha - \alpha_1} f, \partial^{\alpha} f \right) \right| \le \|\partial^{\alpha} f\|_{\nu} \sqrt{\mathcal{E}(t)} \mathcal{D}^{1/2}(t) \le \sqrt{\mathcal{E}(t)} \mathcal{D}(t).$$

It remains to estimate the nonlinear collision operator in (31).

But from Lemma 7 and the basic invariant property (29) we have

$$\left|\left(\Gamma(\partial^{\alpha-\alpha_1}f,\partial^{\alpha_1}f),\partial^{\alpha}f\right)\right| \leq \sqrt{\mathcal{E}(t)}\mathcal{D}(t).$$

Adding up all the estimates for the terms in (31) and summing over  $|\alpha| \leq N$  yields

$$(34) \frac{1}{2} \frac{d}{dt} \left\{ \sum_{|\alpha| \le N} \|\partial^{\alpha} f\|^{2} + \|\partial^{\alpha} [E, B]\|^{2} \right\} + \sum_{|\alpha| \le N} (L \partial^{\alpha} f(t), \partial^{\alpha} f(t)) \le \sqrt{\mathcal{E}(t)} \mathcal{D}(t).$$

By Theorem 2 and Lemma 6 for some small  $\delta_0' > 0$  we see that

$$\frac{1}{2}\frac{d}{dt}\left\{\sum_{|\alpha|\leq N}\|\partial^{\alpha}f\|^{2}+\|\partial^{\alpha}[E,B]\|^{2}-2C_{0}G(t)\right\}+\delta'_{0}\mathcal{D}_{0}(t)\leq\sqrt{\mathcal{E}(t)}\mathcal{D}(t).$$

We add (34) multiplied by a large constant,  $C'_0 > 0$ , to the last line to obtain

(35) 
$$\frac{d}{dt}\mathcal{E}_0(t) + \mathcal{D}_0(t) \le \sqrt{\mathcal{E}(t)}\mathcal{D}(t),$$

We have used  $L \geq 0$  from Lemma 1. Above, for  $C'_0$  large enough and from the definition of G(t) in Theorem 2, the following is a reduced instant energy functional:

$$\mathcal{E}_0(t) = \frac{(C_0'\delta_0'+1)}{2\delta_0'} \left\{ \sum_{|\alpha| \leq N} \|\partial^\alpha f\|^2 + \|[\partial^\alpha E, \partial^\alpha B]\|^2 \right\} - \frac{C_0}{\delta_0'} G(t).$$

We conclude (30) for  $\beta = 0$ .

Say Theorem 2 holds for  $|\beta| = m > 0$ . For  $|\beta| = m + 1$ , take  $\partial_{\beta}^{\alpha}$  of (4) to obtain:

$$\{\partial_t + v \cdot \nabla_x + q(E + v \times B) \cdot \nabla_v\}\partial_{\beta}^{\alpha}(\mathbf{I} - \mathbf{P})f - \partial^{\alpha}E \cdot \partial_{\beta}\{v\sqrt{\mu}\}q_1 + \partial_{\beta}\{L\partial^{\alpha}(\mathbf{I} - \mathbf{P})f\} + \sum_{\beta_1 \neq 0} C_{\beta_1}^{\beta}\partial_{\beta_1}v \cdot \nabla_x\partial_{\beta-\beta_1}^{\alpha}f$$

$$(36) = \sum_{|\alpha_{1}|\neq 0} C_{\alpha_{1}}^{\alpha} C_{\beta_{1}}^{\beta} \frac{q}{2} \{ \partial^{\alpha_{1}} E \cdot \partial_{\beta_{1}} v \} \partial_{\beta-\beta_{1}}^{\alpha-\alpha_{1}} f + \partial_{\beta}^{\alpha} \Gamma(f, f)$$

$$- \sum_{|\alpha_{1}|\neq 0} C_{\alpha_{1}}^{\alpha} q \partial^{\alpha_{1}} E \cdot \nabla_{v} \partial_{\beta}^{\alpha-\alpha_{1}} f - \sum_{|\alpha_{1}|+|\beta_{1}|\neq 0} C_{\alpha_{1}}^{\alpha} C_{\beta_{1}}^{\beta} q \partial_{\beta_{1}} v \times \partial^{\alpha_{1}} B \cdot \nabla_{v} \partial_{\beta-\beta_{1}}^{\alpha-\alpha_{1}} f$$

$$- \{ \partial_{t} + v \cdot \nabla_{x} + q(E + v \times B) \cdot \nabla_{v} \} \partial_{\beta}^{\alpha} \mathbf{P} f.$$

Notice that we have split the equation in terms of microscopic and hydrodynamic parts in a different way from (12). We do this to estimate the linear part of the collision operator properly in terms of our weak whole space dissipation. Standard estimates for  $(\partial_{\beta}L\partial^{\alpha}f, \partial^{\alpha}_{\beta}f)$ , e.g. Lemma 2, are too strong in this case.

We take the inner product of (36) with  $\partial_{\beta}^{\alpha}(\mathbf{I} - \mathbf{P})f$  over  $\mathbb{R}_{x}^{3} \times \mathbb{R}_{v}^{3}$ . We estimate each term from left to right. The first inner product on the left gives

$$\frac{1}{2}\frac{d}{dt}||\partial_{\beta}^{\alpha}(\mathbf{I}-\mathbf{P})f(t)||^{2}.$$

Since  $|\beta| = m+1 > 0$ ,  $|\alpha| \le N-m-1$ . Then by Lemma 6 the second inner product on the left is bounded by

$$-\left|\left(\partial^{\alpha} E \cdot \partial_{\beta} \{v\sqrt{\mu}\}q_{1}, \partial^{\alpha}_{\beta}(\mathbf{I} - \mathbf{P})f\right)\right| \geq -C\|\partial^{\alpha} E\|\|\partial^{\alpha}_{\beta}(\mathbf{I} - \mathbf{P})f\|$$

$$\geq -C_{\eta}\|\partial^{\alpha} E\|^{2} - \eta\|\partial^{\alpha}_{\beta}(\mathbf{I} - \mathbf{P})f\|^{2}$$

$$\geq -C_{\eta}\mathcal{D}_{0}(t) - \eta\|\partial^{\alpha}_{\beta}(\mathbf{I} - \mathbf{P})f\|^{2}.$$

For the linear operator, since  $|\partial_{\beta_1}\nu(v)|$  is bounded for  $\beta_1\neq 0$  (Lemma 2) we have

$$(\partial_{\beta} \{ \nu \partial^{\alpha} (\mathbf{I} - \mathbf{P}) f \}, \partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f ) = ||\partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f||_{\nu}^{2}$$

$$+ \sum_{\beta_{1} \neq 0} C^{\beta}_{\beta_{1}} \langle \partial_{\beta_{1}} \nu \partial^{\alpha}_{\beta - \beta_{1}} (\mathbf{I} - \mathbf{P}) f, \partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f \rangle$$

$$\geq ||\partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f||_{\nu}^{2} - C||\partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f|| \sum_{\beta_{1} \neq 0} ||\partial^{\alpha}_{\beta - \beta_{1}} (\mathbf{I} - \mathbf{P}) f||.$$

Then together with Lemma 2, since  $L = \nu - K$ , we deduce that for any  $\eta > 0$  there is a constant  $C_{\eta} > 0$  such that the third term on the left side of (36) is bounded from below as

$$\begin{split} \left(\partial_{\beta}\{L\partial^{\alpha}(\mathbf{I}-\mathbf{P})f\},\partial^{\alpha}_{\beta}(\mathbf{I}-\mathbf{P})f\right) &\geq ||\partial^{\alpha}_{\beta}(\mathbf{I}-\mathbf{P})f||_{\nu}^{2} - \eta \sum_{|\beta'| = |\beta|} ||\partial^{\alpha}_{\beta'}(\mathbf{I}-\mathbf{P})f||_{\nu}^{2} \\ &- C_{\eta} \sum_{|\vec{\beta}| < |\beta|} ||\partial^{\alpha}_{\vec{\beta}}(\mathbf{I}-\mathbf{P})f||^{2}. \end{split}$$

We can further choose  $C_{\eta} > 0$  such that the inner product of the last term on left side of (36) is bounded by

$$\eta ||\partial_{\beta}^{\alpha}(\mathbf{I} - \mathbf{P})f(t)||^2 + C_{\eta} \sum_{|\beta_1|=1} ||\nabla_x \partial_{\beta-\beta_1}^{\alpha} f||^2$$

We split the last term above as in (11) to obtain

$$\leq \eta ||\partial_{\beta}^{\alpha}(\mathbf{I} - \mathbf{P})f(t)||^{2} + C_{\eta} \sum_{|\beta_{1}|=1} ||\nabla_{x}\partial_{\beta-\beta_{1}}^{\alpha}\mathbf{P}f||^{2} + C_{\eta} \sum_{|\beta_{1}|=1} ||\nabla_{x}\partial_{\beta-\beta_{1}}^{\alpha}(\mathbf{I} - \mathbf{P})f||^{2}$$

$$\leq \eta ||\partial_{\beta}^{\alpha}(\mathbf{I} - \mathbf{P})f(t)||^{2} + C\mathcal{D}_{0}(t) + C_{\eta} \sum_{|\beta_{1}|=1} ||\nabla_{x}\partial_{\beta-\beta_{1}}^{\alpha}(\mathbf{I} - \mathbf{P})f||^{2}.$$

This splitting was used to get rid of the velocity derivatives of the hydrodynamic part, which are not in the dissipation.

Next we estimate all the terms on the right side of (36). Let us first consider the first term on the right, using  $(1+|v|) \le c\nu(v)$  we have

(37) 
$$\left| \left( \frac{q}{2} \{ \partial^{\alpha_1} E \cdot \partial_{\beta_1} v \} \partial^{\alpha - \alpha_1}_{\beta - \beta_1} f, \partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f \right) \right|$$

$$\leq C \int_{\mathbb{R}^3 \times \mathbb{R}^3} \left| \partial^{\alpha_1} E \right| \nu(v) \left| \partial^{\alpha - \alpha_1}_{\beta - \beta_1} f \right| \left| \partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f \right| dx dv.$$

We use Cauchy-Schwartz twice to see that the last line is

$$\leq C \int_{\mathbb{R}^3} |\partial^{\alpha_1} E| \left\{ \int_{\mathbb{R}^3} \nu(v) \left| \partial^{\alpha - \alpha_1}_{\beta - \beta_1} f \right|^2 dv \right\}^{1/2} \left\{ \int_{\mathbb{R}^3} \nu(v) \left| \partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f \right|^2 dv \right\}^{1/2} dx \\
\leq C \|\partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f\|_{\nu} \left\{ \int_{\mathbb{R}^3} |\partial^{\alpha_1} E|^2 \left\{ \int_{\mathbb{R}^3} \nu(v) \left| \partial^{\alpha - \alpha_1}_{\beta - \beta_1} f \right|^2 dv \right\} dx \right\}^{1/2}.$$

We split f into it's microscopic and hydrodynamic parts (11) so that we can remove the velocity derivatives from the hydrodynamic part:

$$\leq C \|\partial_{\beta}^{\alpha}(\mathbf{I} - \mathbf{P})f\|_{\nu} \left\{ \int_{\mathbb{R}^{3}} |\partial^{\alpha_{1}} E|^{2} \left\{ \int_{\mathbb{R}^{3}} \nu(v) \left| \partial_{\beta-\beta_{1}}^{\alpha-\alpha_{1}} \mathbf{P} f \right|^{2} dv \right\} dx \right\}^{1/2} + C \|\partial_{\beta}^{\alpha}(\mathbf{I} - \mathbf{P})f\|_{\nu} \left\{ \int_{\mathbb{R}^{3}} |\partial^{\alpha_{1}} E|^{2} \left\{ \int_{\mathbb{R}^{3}} \nu(v) \left| \partial_{\beta-\beta_{1}}^{\alpha-\alpha_{1}} (\mathbf{I} - \mathbf{P})f \right|^{2} dv \right\} dx \right\}^{1/2}.$$

Since the total number of derivatives is at most N, either  $|\alpha_1| \leq N/2$  or  $|\alpha - \alpha_1| + |\beta - \beta_1| \leq N/2$ . So we take the supremum in x of the term has the least total derivatives, for the microscopic term, using the embedding  $H^2(\mathbb{R}^3) \subset L^{\infty}(\mathbb{R}^3)$  to observe

$$\int_{\mathbb{R}^3} \left| \partial^{\alpha_1} E \right|^2 \left\{ \int_{\mathbb{R}^3} \nu(v) \left| \partial^{\alpha - \alpha_1}_{\beta - \beta_1} (\mathbf{I} - \mathbf{P}) f \right|^2 dv \right\} dx \le \mathcal{E}(t) \mathcal{D}(t).$$

On the other hand, for the hydrodynamic variables we have

$$\int_{\mathbb{R}^3} |\partial^{\alpha_1} E|^2 \left\{ \int_{\mathbb{R}^3} \nu(v) \left| \partial^{\alpha - \alpha_1}_{\beta - \beta_1} \mathbf{P} f \right|^2 dv \right\} dx \le C \int_{\mathbb{R}^3} |\partial^{\alpha_1} E|^2 |\partial^{\alpha - \alpha_1} \mathbf{P} f|_2^2 dx.$$

If  $|\alpha - \alpha_1| \leq N/2$ , then as in (32) we see that

$$\int_{\mathbb{P}^3} |\partial^{\alpha_1} E|^2 |\partial^{\alpha - \alpha_1} \mathbf{P} f|_2^2 dx \le C \|\partial^{\alpha_1} E\|^2 \mathcal{D}(t) \le C \mathcal{E}(t) \mathcal{D}(t).$$

If alternatively  $|\alpha - \alpha_1| > N/2$  and  $|\alpha_1| \leq N/2$  then we use (33) to get

$$\int_{\mathbb{R}^3} |\partial^{\alpha_1} E|^2 |\partial^{\alpha - \alpha_1} \mathbf{P} f|_2^2 dx \le C \mathcal{E}(t) \|\partial^{\alpha - \alpha_1} f\|_{\nu}^2 \le C \mathcal{E}(t) \mathcal{D}(t).$$

In any case, we conclude

$$\left| \left( \frac{q}{2} \{ \partial^{\alpha_1} E \cdot \partial_{\beta_1} v \} \partial^{\alpha - \alpha_1}_{\beta - \beta_1} f, \partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f \right) \right| \leq \sqrt{\mathcal{E}(t)} \mathcal{D}(t).$$

This is the estimate for the first term on the right side of (36).

For the nonlinear collision operator in (36), by Lemma 7 we have

$$\left| \left( \partial_{\beta}^{\alpha} \Gamma(f, f), \partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f \right) \right| \leq \sqrt{\mathcal{E}(t)} \mathcal{D}(t).$$

For the next two terms on the right side of (36) we have

$$\begin{split} & \left| \left( q \partial^{\alpha_1} E \cdot \nabla_v \partial^{\alpha - \alpha_1}_{\beta} f + q \partial_{\beta_1} v \times \partial^{\alpha_1} B \cdot \nabla_v \partial^{\alpha - \alpha_1}_{\beta - \beta_1} f, \partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f \right) \right| \\ & \leq C \int_{\mathbb{R}^3 \times \mathbb{R}^3} \nu(v) \left( \left| \partial^{\alpha_1} E \right| \left| \nabla_v \partial^{\alpha - \alpha_1}_{\beta} f \right| + \left| \partial^{\alpha_1} B \right| \left| \nabla_v \partial^{\alpha - \alpha_1}_{\beta - \beta_1} f \right| \right) \left| \partial^{\alpha}_{\beta} (\mathbf{I} - \mathbf{P}) f \right| dv dx. \end{split}$$

Notice that these are in the form (37). They are therefore estimated the same way. Furthermore the same procedure (as in the estimate for (37)) yields

$$|(q(E+v\times B)\cdot\nabla_v)\partial_{\beta}^{\alpha}\mathbf{P}f,\partial_{\beta}^{\alpha}(\mathbf{I}-\mathbf{P})f)| \leq \sqrt{\mathcal{E}(t)}\mathcal{D}(t).$$

It remains to estimate

$$\left| \left( \left\{ \partial_t + v \cdot \nabla_x \right\} \partial_\beta^\alpha \mathbf{P} f, \partial_\beta^\alpha (\mathbf{I} - \mathbf{P}) f \right) \right|.$$

Since  $|\beta| > 0$ , we have  $|\alpha| \le N - 1$  and the above is

$$\leq C \int_{\mathbb{R}^{3} \times \mathbb{R}^{3}} \nu(v) \left( \left| \partial_{t} \partial_{\beta}^{\alpha} \mathbf{P} f \right| + \left| \nabla_{x} \partial_{\beta}^{\alpha} \mathbf{P} f \right| \right) \left| \partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f \right| dx dv 
\leq C \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f \|_{\nu} \left( \|\partial_{t} \partial_{\beta}^{\alpha} \mathbf{P} f \|_{\nu} + \|\nabla_{x} \partial_{\beta}^{\alpha} \mathbf{P} f \|_{\nu} \right) 
\leq C \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f \|_{\nu} \left( \|\partial_{t} \partial^{\alpha} \mathbf{P} f \| + \|\nabla_{x} \partial^{\alpha} \mathbf{P} f \| \right) 
\leq \eta \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f \|_{\nu}^{2} + C_{\eta} \sum_{|\alpha|=1} \|\partial^{\bar{\alpha}} \partial^{\alpha} f \|^{2}.$$

This completes all the estimates for the terms in (36).

By collecting all the estimates for the terms in (36) and summing over  $|\beta| = m+1$  and  $|\alpha| + |\beta| \le N$  we obtain

$$\sum_{|\beta|=m+1, |\alpha|+|\beta| \le N} \left\{ \frac{1}{2} \frac{d}{dt} \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f(t) \|^{2} + ||\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f(t)||_{\nu}^{2} \right\} - C_{\eta} \mathcal{D}_{0}(t)$$

$$\leq C \eta \sum_{|\beta|=m+1, |\alpha|+|\beta| \le N} ||\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f(t) ||_{\nu}^{2}$$

$$+ C_{\eta} \sum_{|\beta| < m, |\alpha|+|\beta| \le N} ||\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f(t) ||^{2} + \sqrt{\mathcal{E}(t)} \mathcal{D}(t).$$

Here C is a large constant which does not depend on  $\eta$ . Choosing  $\eta = \frac{1}{2C}$  we have

(38) 
$$\sum_{|\beta|=m+1, |\alpha|+|\beta| \le N} \frac{1}{2} \left\{ \frac{d}{dt} \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f(t) \|^{2} + \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f(t) \|_{\nu}^{2} \right\}$$

Now add the inequality from (30) for  $|\beta| = m$  multiplied by a suitably large constant,  $C_m$ , to (38) to obtain

$$\frac{d}{dt} \left\{ C_m \mathcal{E}_m(t) + \frac{1}{2} \sum_{|\beta|=m+1, |\alpha|+|\beta| \le N} \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f(t) \|^2 \right\} + (C_m - C) \mathcal{D}_m(t) 
+ \frac{1}{2} \sum_{|\beta|=m+1, |\alpha|+|\beta| \le N} \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f(t) \|_{\nu}^2 \le \sqrt{\mathcal{E}(t)} \mathcal{D}(t).$$

Here  $C_m$  is chosen so that  $C_m - C > 0$ . We further define

$$\mathcal{E}_{m+1}(t) = C_m \mathcal{E}_m(t) + \frac{1}{2} \sum_{|\beta|=m+1, |\alpha|+|\beta| \le N} \|\partial_{\beta}^{\alpha} (\mathbf{I} - \mathbf{P}) f(t)\|^2.$$

Since  $\|\partial_{\beta} \mathbf{P} f\| \leq C \|\mathbf{P} f\|$ ,  $\mathcal{E}_{m+1}(t)$  is an instant energy functional.

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